

Exam Questions CISM

Certified Information Security Manager

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NEW QUESTION 1

Which of the following is responsible for legal and regulatory liability?

- A. Chief security officer (CSO)
- B. Chief legal counsel (CLC)
- C. Board and senior management
- D. Information security steering group

Answer: C

Explanation:

The board of directors and senior management are ultimately responsible for all that happens in the organization. The others are not individually liable for failures of security in the organization.

NEW QUESTION 2

When an organization is implementing an information security governance program, its board of directors should be responsible for:

- A. drafting information security policies
- B. reviewing training and awareness program
- C. setting the strategic direction of the program
- D. auditing for compliance

Answer: C

Explanation:

A board of directors should establish the strategic direction of the program to ensure that it is in sync with the company's vision and business goals. The board must incorporate the governance program into the overall corporate business strategy. Drafting information security policies is best fulfilled by someone such as a security manager with the expertise to bring balance, scope and focus to the policies. Reviewing training and awareness programs may best be handled by security management and training staff to ensure that the training is on point and follows best practices. Auditing for compliance is best left to the internal and external auditors to provide an objective review of the program and how it meets regulatory and statutory compliance.

NEW QUESTION 3

Which of the following would be MOST effective in successfully implementing restrictive password policies?

- A. Regular password audits
- B. Single sign-on system
- C. Security awareness program
- D. Penalties for noncompliance

Answer: C

Explanation:

To be successful in implementing restrictive password policies, it is necessary to obtain the buy-in of the end users. The best way to accomplish this is through a security awareness program. Regular password audits and penalties for noncompliance would not be as effective on their own; people would go around them unless forced by the system. Single sign-on is a technology solution that would enforce password complexity but would not promote user compliance. For the effort to be more effective, user buy-in is important.

NEW QUESTION 4

Which of the following is MOST important to understand when developing a meaningful information security strategy?

- A. Regulatory environment
- B. International security standards
- C. Organizational risks
- D. Organizational goals

Answer: D

Explanation:

Alignment of security with business objectives requires an understanding of what an organization is trying to accomplish. The other choices are all elements that must be considered, but their importance is secondary and will vary depending on organizational goals.

NEW QUESTION 5

It is MOST important that information security architecture be aligned with which of the following?

- A. Industry best practices
- B. Information technology plans
- C. Information security best practices
- D. Business objectives and goals

Answer: D

Explanation:

Information security architecture should always be properly aligned with business goals and objectives. Alignment with IT plans or industry and security best practices is secondary by comparison.

NEW QUESTION 6

From an information security manager perspective, what is the immediate benefit of clearly-defined roles and responsibilities?

- A. Enhanced policy compliance
- B. Improved procedure flows
- C. Segregation of duties
- D. Better accountability

Answer: D

Explanation:

Without well-defined roles and responsibilities, there cannot be accountability. Choice A is incorrect because policy compliance requires adequately defined accountability first and therefore is a byproduct. Choice B is incorrect because people can be assigned to execute procedures that are not well designed. Choice C is incorrect because segregation of duties is not automatic, and roles may still include conflicting duties.

NEW QUESTION 7

An outcome of effective security governance is:

- A. business dependency assessment
- B. strategic alignmen
- C. risk assessmen
- D. plannin

Answer: B

Explanation:

Business dependency assessment is a process of determining the dependency of a business on certain information resources. It is not an outcome or a product of effective security management. Strategic alignment is an outcome of effective security governance. Where there is good governance, there is likely to be strategic alignment. Risk assessment is not an outcome of effective security governance; it is a process. Planning comes at the beginning of effective security governance, and is not an outcome but a process.

NEW QUESTION 8

The MOST effective approach to address issues that arise between IT management, business units and security management when implementing a new security strategy is for the information security manager to:

- A. escalate issues to an external third party for resolutio
- B. ensure that senior management provides authority for security to address the issue
- C. insist that managers or units not in agreement with the security solution accept the ris
- D. refer the issues to senior management along with any security recommendation

Answer: D

Explanation:

Senior management is in the best position to arbitrate since they will look at the overall needs of the business in reaching a decision. The authority may be delegated to others by senior management after their review of the issues and security recommendations. Units should not be asked to accept the risk without first receiving input from senior management.

NEW QUESTION 9

The MAIN reason for having the Information Security Steering Committee review a new security controls implementation plan is to ensure that:

- A. the plan aligns with the organization's business pla
- B. departmental budgets are allocated appropriately to pay for the pla
- C. regulatory oversight requirements are me
- D. the impact of the plan on the business units is reduce

Answer: A

Explanation:

The steering committee controls the execution of the information security strategy according to the needs of the organization and decides on the project prioritization and the execution plan. The steering committee does not allocate department budgets for business units. While ensuring that regulatory oversight requirements are met could be a consideration, it is not the main reason for the review. Reducing the impact on the business units is a secondary concern but not the main reason for the review.

NEW QUESTION 10

A multinational organization operating in fifteen countries is considering implementing an information security program. Which factor will MOST influence the design of the Information security program?

- A. Representation by regional business leaders
- B. Composition of the board

- C. Cultures of the different countries
- D. IT security skills

Answer: C

Explanation:

Culture has a significant impact on how information security will be implemented. Representation by regional business leaders may not have a major influence unless it concerns cultural issues. Composition of the board may not have a significant impact compared to cultural issues. IT security skills are not as key or high impact in designing a multinational information security program as would be cultural issues.

NEW QUESTION 10

When a security standard conflicts with a business objective, the situation should be resolved by:

- A. changing the security standar
- B. changing the business objectiv
- C. performing a risk analysi
- D. authorizing a risk acceptanc

Answer: C

Explanation:

Conflicts of this type should be based on a risk analysis of the costs and benefits of allowing or disallowing an exception to the standard. It is highly improbable that a business objective could be changed to accommodate a security standard, while risk acceptance* is a process that derives from the risk analysis.

NEW QUESTION 14

Who is responsible for ensuring that information is categorized and that specific protective measures are taken?

- A. The security officer
- B. Senior management
- C. The end user
- D. The custodian

Answer: B

Explanation:

Routine administration of all aspects of security is delegated, but top management must retain overall responsibility. The security officer supports and implements information security for senior management. The end user does not perform categorization. The custodian supports and implements information security measures as directed.

NEW QUESTION 15

A business unit intends to deploy a new technology in a manner that places it in violation of existing information security standards. What immediate action should an information security manager take?

- A. Enforce the existing security standard
- B. Change the standard to permit the deployment
- C. Perform a risk analysis to quantify the risk
- D. Perform research to propose use of a better technology

Answer: C

Explanation:

Resolving conflicts of this type should be based on a sound risk analysis of the costs and benefits of allowing or disallowing an exception to the standard. A blanket decision should never be given without conducting such an analysis. Enforcing existing standards is a good practice; however, standards need to be continuously examined in light of new technologies and the risks they present. Standards should not be changed without an appropriate risk assessment.

NEW QUESTION 17

Which of the following MOST commonly falls within the scope of an information security governance steering committee?

- A. Interviewing candidates for information security specialist positions
- B. Developing content for security awareness programs
- C. Prioritizing information security initiatives
- D. Approving access to critical financial systems

Answer: C

Explanation:

Prioritizing information security initiatives is the only appropriate item. The interviewing of specialists should be performed by the information security manager, while the developing of program content should be performed by the information security staff. Approving access to critical financial systems is the responsibility of individual system data owners.

NEW QUESTION 18

An organization's information security strategy should be based on:

- A. managing risk relative to business objective
- B. managing risk to a zero level and minimizing insurance premium
- C. avoiding occurrence of risks so that insurance is not require
- D. transferring most risks to insurers and saving on control cost

Answer: A

Explanation:

Organizations must manage risks to a level that is acceptable for their business model, goals and objectives. A zero-level approach may be costly and not provide the effective benefit of additional revenue to the organization. Long-term maintenance of this approach may not be cost effective. Risks vary as business models, geography, and regulatory- and operational processes change. Insurance covers only a small portion of risks and requires that the organization have certain operational controls in place.

NEW QUESTION 19

Information security governance is PRIMARILY driven by:

- A. technology constraint
- B. regulatory requirement
- C. litigation potentia
- D. business strateg

Answer: D

Explanation:

Governance is directly tied to the strategy and direction of the business. Technology constraints, regulatory requirements and litigation potential are all important factors, but they are necessarily in line with the business strategy.

NEW QUESTION 24

A new regulation for safeguarding information processed by a specific type of transaction has come to the attention of an information security officer. The officer should FIRST:

- A. meet with stakeholders to decide how to compl
- B. analyze key risks in the compliance proces
- C. assess whether existing controls meet the regulatio
- D. update the existing security/privacy polic

Answer: C

Explanation:

If the organization is in compliance through existing controls, the need to perform other work related to the regulation is not a priority. The other choices are appropriate and important; however, they are actions that are subsequent and will depend on whether there is an existing control gap.

NEW QUESTION 26

The chief information security officer (CISO) should ideally have a direct reporting relationship to the:

- A. head of internal audi
- B. chief operations officer (COO).
- C. chief technology officer (CTO).
- D. legal counse

Answer: B

Explanation:

The chief information security officer (CISO) should ideally report to as high a level within the organization as possible. Among the choices given, the chief operations officer (COO) would have not only the appropriate level but also the knowledge of day-to-day operations. The head of internal audit and legal counsel would make good secondary choices, although they would not be as knowledgeable of the operations. Reporting to the chief technology officer (CTO) could become problematic as the CTO's goals for the infrastructure might, at times, run counter to the goals of information security.

NEW QUESTION 31

When an information security manager is developing a strategic plan for information security, the timeline for the plan should be:

- A. aligned with the IT strategic pla
- B. based on the current rate of technological chang
- C. three-to-five years for both hardware and softwar
- D. aligned with the business strateg

Answer: D

Explanation:

Any planning for information security should be properly aligned with the needs of the business. Technology should not come before the needs of the business, nor should planning be done on an artificial timetable that ignores business needs.

NEW QUESTION 34

Who should be responsible for enforcing access rights to application data?

- A. Data owners
- B. Business process owners
- C. The security steering committee
- D. Security administrators

Answer: D

Explanation:

As custodians, security administrators are responsible for enforcing access rights to data. Data owners are responsible for approving these access rights. Business process owners are sometimes the data owners as well, and would not be responsible for enforcement. The security steering committee would not be responsible for enforcement.

NEW QUESTION 37

Information security policy enforcement is the responsibility of the:

- A. security steering committee
- B. chief information officer (CIO).
- C. chief information security officer (CISO).
- D. chief compliance officer (CCO).

Answer: C

Explanation:

Information security policy enforcement is the responsibility of the chief information security officer (CISO), first and foremost. The board of directors and executive management should ensure that a security policy is in line with corporate objectives. The chief information officer (CIO) and the chief compliance officer (CCO) are involved in the enforcement of the policy but are not directly responsible for it.

NEW QUESTION 38

Which of the following is the MOST important factor when designing information security architecture?

- A. Technical platform interfaces
- B. Scalability of the network
- C. Development methodologies
- D. Stakeholder requirements

Answer: D

Explanation:

The most important factor for information security is that it advances the interests of the business, as defined by stakeholder requirements. Interoperability and scalability, as well as development methodologies, are all important but are without merit if a technologically-elegant solution is achieved that does not meet the needs of the business.

NEW QUESTION 43

Which of the following is an advantage of a centralized information security organizational structure?

- A. It is easier to promote security awareness
- B. It is easier to manage and control
- C. It is more responsive to business unit need
- D. It provides a faster turnaround for security request

Answer: B

Explanation:

It is easier to manage and control a centralized structure. Promoting security awareness is an advantage of decentralization. Decentralization allows you to use field security personnel as security missionaries or ambassadors to spread the security awareness message. Decentralized operations allow security administrators to be more responsive. Being close to the business allows decentralized security administrators to achieve a faster turnaround than that achieved in a centralized operation.

NEW QUESTION 48

What would a security manager PRIMARILY utilize when proposing the implementation of a security solution?

- A. Risk assessment report
- B. Technical evaluation report
- C. Business case
- D. Budgetary requirements

Answer: C

Explanation:

The information security manager needs to prioritize the controls based on risk management and the requirements of the organization. The information security manager must look at the costs of the various controls and compare them against the benefit the organization will receive from the security solution. The

information security manager needs to have knowledge of the development of business cases to illustrate the costs and benefits of the various controls. All other choices are supplemental.

NEW QUESTION 49

Which of the following would help to change an organization's security culture?

- A. Develop procedures to enforce the information security policy
- B. Obtain strong management support
- C. Implement strict technical security controls
- D. Periodically audit compliance with the information security policy

Answer: B

Explanation:

Management support and pressure will help to change an organization's culture. Procedures will support an information security policy, but cannot change the culture of the organization. Technical controls will provide more security to an information system and staff; however, this does not mean the culture will be changed. Auditing will help to ensure the effectiveness of the information security policy; however, auditing is not effective in changing the culture of the company.

NEW QUESTION 50

Senior management commitment and support for information security will BEST be attained by an information security manager by emphasizing:

- A. organizational risk
- B. organization wide metric
- C. security need
- D. the responsibilities of organizational unit

Answer: A

Explanation:

Information security exists to help the organization meet its objectives. The information security manager should identify information security needs based on organizational needs. Organizational or business risk should always take precedence. Involving each organizational unit in information security and establishing metrics to measure success will be viewed favorably by senior management after the overall organizational risk is identified.

NEW QUESTION 53

Which of the following are likely to be updated MOST frequently?

- A. Procedures for hardening database servers
- B. Standards for password length and complexity
- C. Policies addressing information security governance
- D. Standards for document retention and destruction

Answer: A

Explanation:

Policies and standards should generally be more static and less subject to frequent change. Procedures on the other hand, especially with regard to the hardening of operating systems, will be subject to constant change; as operating systems change and evolve, the procedures for hardening will have to keep pace.

NEW QUESTION 56

Which of the following is a benefit of information security governance?

- A. Reduction of the potential for civil or legal liability
- B. Questioning trust in vendor relationships
- C. Increasing the risk of decisions based on incomplete management information
- D. Direct involvement of senior management in developing control processes

Answer: A

Explanation:

Information security governance decreases the risk of civil or legal liability. The remaining answers are incorrect. Option D appears to be correct, but senior management would provide oversight and approval as opposed to direct involvement in developing control processes.

NEW QUESTION 59

Which of the following is the MOST important information to include in a strategic plan for information security?

- A. Information security staffing requirements
- B. Current state and desired future state
- C. IT capital investment requirements
- D. information security mission statement

Answer: B

Explanation:

It is most important to paint a vision for the future and then draw a road map from the stalling point to the desired future state. Staffing, capital investment and the mission all stem from this foundation.

NEW QUESTION 60

Security technologies should be selected PRIMARILY on the basis of their:

- A. ability to mitigate business risk
- B. evaluations in trade publication
- C. use of new and emerging technologie
- D. benefits in comparison to their cost

Answer: A

Explanation:

The most fundamental evaluation criterion for the appropriate selection of any security technology is its ability to reduce or eliminate business risks. Investments in security technologies should be based on their overall value in relation to their cost; the value can be demonstrated in terms of risk mitigation. This should take precedence over whether they use new or exotic technologies or how they are evaluated in trade publications.

NEW QUESTION 63

An information security manager mapping a job description to types of data access is MOST likely to adhere to which of the following information security principles?

- A. Ethics
- B. Proportionality
- C. Integration
- D. Accountability

Answer: B

Explanation:

Information security controls should be proportionate to the risks of modification, denial of use or disclosure of the information. It is advisable to learn if the job description is apportioning more data than are necessary for that position to execute the business rules (types of data access). Principles of ethics and integration have the least to do with mapping job description to types of data access. The principle of accountability would be the second most adhered to principle since people with access to data may not always be accountable but may be required to perform an operation.

NEW QUESTION 67

What will have the HIGHEST impact on standard information security governance models?

- A. Number of employees
- B. Distance between physical locations
- C. Complexity of organizational structure
- D. Organizational budget

Answer: C

Explanation:

Information security governance models are highly dependent on the overall organizational structure. Some of the elements that impact organizational structure are multiple missions and functions across the organization, leadership and lines of communication. Number of employees and distance between physical locations have less impact on information security governance models since well-defined process, technology and people components intermingle to provide the proper governance. Organizational budget is not a major impact once good governance models are in place, hence governance will help in effective management of the organization's budget.

NEW QUESTION 72

Which of the following is the MOST important element of an information security strategy?

- A. Defined objectives
- B. Time frames for delivery
- C. Adoption of a control framework
- D. Complete policies

Answer: A

Explanation:

Without defined objectives, a strategy—the plan to achieve objectives—cannot be developed. Time frames for delivery are important but not critical for inclusion in the strategy document. Similarly, the adoption of a control framework is not critical to having a successful information security strategy. Policies are developed subsequent to, and as a part of, implementing a strategy.

NEW QUESTION 76

Which of the following is characteristic of centralized information security management?

- A. More expensive to administer
- B. Better adherence to policies
- C. More aligned with business unit needs

D. Faster turnaround of requests

Answer: B

Explanation:

Centralization of information security management results in greater uniformity and better adherence to security policies. It is generally less expensive to administer due to the economics of scale. However, turnaround can be slower due to the lack of alignment with business units.

NEW QUESTION 79

Senior management commitment and support for information security can BEST be obtained through presentations that:

- A. use illustrative examples of successful attack
- B. explain the technical risks to the organization
- C. evaluate the organization against best security practice
- D. tie security risks to key business objective

Answer: D

Explanation:

Senior management seeks to understand the business justification for investing in security. This can best be accomplished by tying security to key business objectives. Senior management will not be as interested in technical risks or examples of successful attacks if they are not tied to the impact on business environment and objectives. Industry best practices are important to senior management but, again, senior management will give them the right level of importance when they are presented in terms of key business objectives.

NEW QUESTION 80

How would an information security manager balance the potentially conflicting requirements of an international organization's security standards and local regulation?

- A. Give organization standards preference over local regulations
- B. Follow local regulations only
- C. Make the organization aware of those standards where local regulations causes conflicts
- D. Negotiate a local version of the organization standards

Answer: D

Explanation:

Adherence to local regulations must always be the priority. Not following local regulations can prove detrimental to the group organization. Following local regulations only is incorrect since there needs to be some recognition of organization requirements. Making an organization aware of standards is a sensible step, but is not a total solution. Negotiating a local version of the organization standards is the most effective compromise in this situation.

NEW QUESTION 83

Acceptable levels of information security risk should be determined by:

- A. legal counsel
- B. security management
- C. external auditor
- D. the steering committee

Answer: D

Explanation:

Senior management, represented in the steering committee, has ultimate responsibility for determining what levels of risk the organization is willing to assume. Legal counsel, the external auditors and security management are not in a position to make such a decision.

NEW QUESTION 87

While implementing information security governance an organization should FIRST:

- A. adopt security standard
- B. determine security baseline
- C. define the security strategy
- D. establish security policies

Answer: C

Explanation:

The first step in implementing information security governance is to define the security strategy based on which security baselines are determined. Adopting suitable security-standards, performing risk assessment and implementing security policy are steps that follow the definition of the security strategy.

NEW QUESTION 91

Obtaining senior management support for establishing a warm site can BEST be accomplished by:

- A. establishing a periodic risk assessment

- B. promoting regulatory requirement
- C. developing a business cas
- D. developing effective metric

Answer: C

Explanation:

Business case development, including a cost-benefit analysis, will be most persuasive to management. A risk assessment may be included in the business ease, but by itself will not be as effective in gaining management support. Informing management of regulatory requirements may help gain support for initiatives, but given that more than half of all organizations are not in compliance with regulations, it is unlikely to be sufficient in many cases. Good metrics which provide assurance that initiatives are meeting organizational goals will also be useful, but are insufficient in gaining management support.

NEW QUESTION 95

When identifying legal and regulatory issues affecting information security, which of the following would represent the BEST approach to developing information security policies?

- A. Create separate policies to address each regulation
- B. Develop policies that meet all mandated requirements
- C. Incorporate policy statements provided by regulators
- D. Develop a compliance risk assessment

Answer: B

Explanation:

It will be much more efficient to craft all relevant requirements into policies than to create separate versions. Using statements provided by regulators will not capture all of the requirements mandated by different regulators. A compliance risk assessment is an important tool to verify that procedures ensure compliance once the policies have been established.

NEW QUESTION 99

In order to highlight to management the importance of network security, the security manager should FIRST:

- A. develop a security architectur
- B. install a network intrusion detection system (NIDS) and prepare a list of attack
- C. develop a network security polic
- D. conduct a risk assessmen

Answer: D

Explanation:

A risk assessment would be most helpful to management in understanding at a very high level the threats, probabilities and existing controls. Developing a security architecture, installing a network intrusion detection system (NIDS) and preparing a list of attacks on the network and developing a network security policy would not be as effective in highlighting the importance to management and would follow only after performing a risk assessment.

NEW QUESTION 100

A good privacy statement should include:

- A. notification of liability on accuracy of informatio
- B. notification that information will be encrypte
- C. what the company will do with information it collect
- D. a description of the information classification proces

Answer: C

Explanation:

Most privacy laws and regulations require disclosure on how information will be used. Choice A is incorrect because that information should be located in the web site's disclaimer. Choice B is incorrect because, although encryption may be applied, this is not generally disclosed. Choice D is incorrect because information classification would be contained in a separate policy.

NEW QUESTION 101

Which of the following is MOST important in developing a security strategy?

- A. Creating a positive business security environment
- B. Understanding key business objectives
- C. Having a reporting line to senior management
- D. Allocating sufficient resources to information security

Answer: B

Explanation:

Alignment with business strategy is of utmost importance. Understanding business objectives is critical in determining the security needs of the organization.

NEW QUESTION 104

To achieve effective strategic alignment of security initiatives, it is important that:

- A. Steering committee leadership be selected by rotation
- B. Inputs be obtained and consensus achieved between the major organizational unit
- C. The business strategy be updated periodically
- D. Procedures and standards be approved by all departmental heads

Answer: B

Explanation:

It is important to achieve consensus on risks and controls, and obtain inputs from various organizational entities since security needs to be aligned to the needs of the organization. Rotation of steering committee leadership does not help in achieving strategic alignment. Updating business strategy does not lead to strategic alignment of security initiatives. Procedures and standards need not be approved by all departmental heads

NEW QUESTION 105

An organization's board of directors has learned of recent legislation requiring organizations within the industry to enact specific safeguards to protect confidential customer information. What actions should the board take next?

- A. Direct information security on what they need to do
- B. Research solutions to determine the proper solutions
- C. Require management to report on compliance
- D. Nothing; information security does not report to the board

Answer: C

Explanation:

Information security governance is the responsibility of the board of directors and executive management. In this instance, the appropriate action is to ensure that a plan is in place for implementation of needed safeguards and to require updates on that implementation.

NEW QUESTION 106

When an organization is setting up a relationship with a third-party IT service provider, which of the following is one of the MOST important topics to include in the contract from a security standpoint?

- A. Compliance with international security standard
- B. Use of a two-factor authentication system
- C. Existence of an alternate hot site in case of business disruption
- D. Compliance with the organization's information security requirement

Answer: D

Explanation:

From a security standpoint, compliance with the organization's information security requirements is one of the most important topics that should be included in the contract with third-party service provider. The scope of implemented controls in any ISO 27001-compliant organization depends on the security requirements established by each organization. Requiring compliance only with this security standard does not guarantee that a service provider complies with the organization's security requirements. The requirement to use a specific kind of control methodology is not usually stated in the contract with third-party service providers.

NEW QUESTION 109

Which of the following are seldom changed in response to technological changes?

- A. Standards
- B. Procedures
- C. Policies
- D. Guidelines

Answer: C

Explanation:

Policies are high-level statements of objectives. Because of their high-level nature and statement of broad operating principles, they are less subject to periodic change. Security standards and procedures as well as guidelines must be revised and updated based on the impact of technology changes.

NEW QUESTION 113

When implementing effective security governance within the requirements of the company's security strategy, which of the following is the MOST important factor to consider?

- A. Preserving the confidentiality of sensitive data
- B. Establishing international security standards for data sharing
- C. Adhering to corporate privacy standards
- D. Establishing system manager responsibility for information security

Answer: A

Explanation:

The goal of information security is to protect the organization's information assets. International security standards are situational, depending upon the company and its business. Adhering to corporate privacy standards is important, but those standards must be appropriate and adequate and are not the most important

factor to consider. All employees are responsible for information security, but it is not the most important factor to consider.

NEW QUESTION 115

On a company's e-commerce web site, a good legal statement regarding data privacy should include:

- A. a statement regarding what the company will do with the information it collect
- B. a disclaimer regarding the accuracy of information on its web sit
- C. technical information regarding how information is protecte
- D. a statement regarding where the information is being hoste

Answer: A

Explanation:

Most privacy laws and regulations require disclosure on how information will be used. A disclaimer is not necessary since it does not refer to data privacy. Technical details regarding how information is protected are not mandatory to publish on the web site and in fact would not be desirable. It is not mandatory to say where information is being hosted.

NEW QUESTION 116

The MOST important factor in planning for the long-term retention of electronically stored business records is to take into account potential changes in:

- A. storage capacity and shelf lif
- B. regulatory and legal requirement
- C. business strategy and directio
- D. application systems and medi

Answer: D

Explanation:

Long-term retention of business records may be severely impacted by changes in application systems and media. For example, data stored in nonstandard formats that can only be read and interpreted by previously decommissioned applications may be difficult, if not impossible, to recover. Business strategy and direction do not generally apply, nor do legal and regulatory requirements. Storage capacity and shelf life are important but secondary issues.

NEW QUESTION 119

Which of the following is the BEST justification to convince management to invest in an information security program?

- A. Cost reduction
- B. Compliance with company policies
- C. Protection of business assets
- D. Increased business value

Answer: D

Explanation:

Investing in an information security program should increase business value and confidence. Cost reduction by itself is rarely the motivator for implementing an information security program. Compliance is secondary to business value. Increasing business value may include protection of business assets.

NEW QUESTION 122

When designing an information security quarterly report to management, the MOST important element to be considered should be the:

- A. information security metric
- B. knowledge required to analyze each issu
- C. linkage to business area objective
- D. baseline against which metrics are evaluate

Answer: C

Explanation:

The link to business objectives is the most important clement that would be considered by management. Information security metrics should be put in the context of impact to management objectives. Although important, the security knowledge required would not be the first element to be considered. Baselining against the information security metrics will be considered later in the process.

NEW QUESTION 124

To justify its ongoing security budget, which of the following would be of MOST use to the information security' department?

- A. Security breach frequency
- B. Annualized loss expectancy (ALE)
- C. Cost-benefit analysis
- D. Peer group comparison

Answer: C

Explanation:

Cost-benefit analysis is the legitimate way to justify budget. The frequency of security breaches may assist the argument for budget but is not the key tool; it does not address the impact. Annualized loss expectancy (ALE) does not address the potential benefit of security investment. Peer group comparison would provide a good estimate for the necessary security budget but it would not take into account the specific needs of the organization.

NEW QUESTION 126

Logging is an example of which type of defense against systems compromise?

- A. Containment
- B. Detection
- C. Reaction
- D. Recovery

Answer: B

Explanation:

Detection defenses include logging as well as monitoring, measuring, auditing, detecting viruses and intrusion. Examples of containment defenses are awareness, training and physical security defenses. Examples of reaction defenses are incident response, policy and procedure change, and control enhancement. Examples of recovery defenses are backups and restorations, failover and remote sites, and business continuity plans and disaster recovery plans.

NEW QUESTION 128

Which of the following represents the MAJOR focus of privacy regulations?

- A. Unrestricted data mining
- B. Identity theft
- C. Human rights protection
- D. Identifiable personal data

Answer: D

Explanation:

Protection of identifiable personal data is the major focus of recent privacy regulations such as the Health Insurance Portability and Accountability Act (HIPAA). Data mining is an accepted tool for ad hoc reporting; it could pose a threat to privacy only if it violates regulator's provisions. Identity theft is a potential consequence of privacy violations but not the main focus of many regulations. Human rights addresses privacy issues but is not the main focus of regulations.

NEW QUESTION 131

Developing a successful business case for the acquisition of information security software products can BEST be assisted by:

- A. assessing the frequency of incident
- B. quantifying the cost of control failure
- C. calculating return on investment (ROD) projection
- D. comparing spending against similar organization

Answer: C

Explanation:

Calculating the return on investment (ROD) will most closely align security with the impact on the bottom line. Frequency and cost of incidents are factors that go into determining the impact on the business but, by themselves, are insufficient. Comparing spending against similar organizations can be problematic since similar organizations may have different business goals and appetites for risk.

NEW QUESTION 136

Which of the following should be included in an annual information security budget that is submitted for management approval?

- A. A cost-benefit analysis of budgeted resources
- B. All of the resources that are recommended by the business
- C. Total cost of ownership (TC'O)
- D. Baseline comparisons

Answer: A

Explanation:

A brief explanation of the benefit of expenditures in the budget helps to convey the context of how the purchases that are being requested meet goals and objectives, which in turn helps build credibility for the information security function or program. Explanations of benefits also help engage senior management in the support of the information security program. While the budget should consider all inputs and recommendations that are received from the business, the budget that is ultimately submitted to management for approval should include only those elements that are intended for purchase. TC'O may be requested by management and may be provided in an addendum to a given purchase request, but is not usually included in an annual budget. Baseline comparisons (cost comparisons with other companies or industries) may be useful in developing a budget or providing justification in an internal review for an individual purchase, but would not be included with a request for budget approval.

NEW QUESTION 137

Information security should be:

- A. focused on eliminating all risk
- B. a balance between technical and business requirement

- C. driven by regulatory requirement
- D. defined by the board of director

Answer: B

Explanation:

Information security should ensure that business objectives are met given available technical capabilities, resource constraints and compliance requirements. It is not practical or feasible to eliminate all risks. Regulatory requirements must be considered, but are inputs to the business considerations. The board of directors does not define information security, but provides direction in support of the business goals and objectives.

NEW QUESTION 140

The data access requirements for an application should be determined by the:

- A. legal departmen
- B. compliance office
- C. information security manage
- D. business owne

Answer: D

Explanation:

Business owners are ultimately responsible for their applications. The legal department, compliance officer and information security manager all can advise, but do not have final responsibility.

NEW QUESTION 145

Temporarily deactivating some monitoring processes, even if supported by an acceptance of operational risk, may not be acceptable to the information security manager if:

- A. it implies compliance risk
- B. short-term impact cannot be determine
- C. it violates industry security practice
- D. changes in the roles matrix cannot be detecte

Answer: A

Explanation:

Monitoring processes are also required to guarantee fulfillment of laws and regulations of the organization and, therefore, the information security manager will be obligated to comply with the law. Choices B and C are evaluated as part of the operational risk. Choice D is unlikely to be as critical a breach of regulatory legislation. The acceptance of operational risks overrides choices B, C and D.

NEW QUESTION 146

Which of the following would be MOST helpful to achieve alignment between information security and organization objectives?

- A. Key control monitoring
- B. A robust security awareness program
- C. A security program that enables business activities
- D. An effective security architecture

Answer: C

Explanation:

A security program enabling business activities would be most helpful to achieve alignment between information security and organization objectives. All of the other choices are part of the security program and would not individually and directly help as much as the security program.

NEW QUESTION 151

When developing an information security program, what is the MOST useful source of information for determining available resources?

- A. Proficiency test
- B. Job descriptions
- C. Organization chart
- D. Skills inventory

Answer: D

Explanation:

A skills inventory would help identify- the available resources, any gaps and the training requirements for developing resources. Proficiency testing is useful but only with regard to specific technical skills. Job descriptions would not be as useful since they may be out of date or not sufficiently detailed. An organization chart would not provide the details necessary to determine the resources required for this activity.

NEW QUESTION 154

A security manager meeting the requirements for the international flow of personal data will need to ensure:

- A. a data processing agreemen
- B. a data protection registratio
- C. the agreement of the data subject
- D. subject access procedure

Answer: C

Explanation:

Whenever personal data are transferred across national boundaries, the awareness and agreement of the data subjects are required. Choices A, B and D are supplementary data protection requirements that are not key for international data transfer.

NEW QUESTION 159

Which of the following roles would represent a conflict of interest for an information security manager?

- A. Evaluation of third parties requesting connectivity
- B. Assessment of the adequacy of disaster recovery plans
- C. Final approval of information security policies
- D. Monitoring adherence to physical security controls

Answer: C

Explanation:

Since management is ultimately responsible for information security, it should approve information security policy statements; the information security manager should not have final approval. Evaluation of third parties requesting access, assessment of disaster recovery plans and monitoring of compliance with physical security controls are acceptable practices and do not present any conflicts of interest.

NEW QUESTION 163

Which of the following should be determined while defining risk management strategies?

- A. Risk assessment criteria
- B. Organizational objectives and risk appetite
- C. IT architecture complexity
- D. Enterprise disaster recovery plans

Answer: B

Explanation:

While defining risk management strategies, one needs to analyze the organization's objectives and risk appetite and define a risk management framework based on this analysis. Some organizations may accept known risks, while others may invest in and apply mitigation controls to reduce risks. Risk assessment criteria would become part of this framework, but only after proper analysis. IT architecture complexity and enterprise disaster recovery plans are more directly related to assessing risks than defining strategies.

NEW QUESTION 168

Who in an organization has the responsibility for classifying information?

- A. Data custodian
- B. Database administrator
- C. Information security officer
- D. Data owner

Answer: D

Explanation:

The data owner has full responsibility over data. The data custodian is responsible for securing the information. The database administrator carries out the technical administration. The information security officer oversees the overall classification management of the information.

NEW QUESTION 170

Which of the following is characteristic of decentralized information security management across a geographically dispersed organization?

- A. More uniformity in quality of service
- B. Better adherence to policies
- C. Better alignment to business unit needs
- D. More savings in total operating costs

Answer: C

Explanation:

Decentralization of information security management generally results in better alignment to business unit needs. It is generally more expensive to administer due to the lack of economies of scale. Uniformity in quality of service tends to vary from unit to unit.

NEW QUESTION 173

Which of the following characteristics is MOST important when looking at prospective candidates for the role of chief information security officer (CISO)?

- A. Knowledge of information technology platforms, networks and development methodologies
- B. Ability to understand and map organizational needs to security technologies
- C. Knowledge of the regulatory environment and project management techniques
- D. Ability to manage a diverse group of individuals and resources across an organization

Answer: B

Explanation:

Information security will be properly aligned with the goals of the business only with the ability to understand and map organizational needs to enable security technologies. All of the other choices are important but secondary to meeting business security needs.

NEW QUESTION 177

Which of the following situations must be corrected FIRST to ensure successful information security governance within an organization?

- A. The information security department has difficulty filling vacancie
- B. The chief information officer (CIO) approves security policy change
- C. The information security oversight committee only meets quarterl
- D. The data center manager has final signoff on all security project

Answer: D

Explanation:

A steering committee should be in place to approve all security projects. The fact that the data center manager has final signoff for all security projects indicates that a steering committee is not being used and that information security is relegated to a subordinate place in the organization. This would indicate a failure of information security governance. It is not inappropriate for an oversight or steering committee to meet quarterly. Similarly, it may be desirable to have the chief information officer (CIO) approve the security policy due to the size of the organization and frequency of updates. Difficulty in filling vacancies is not uncommon due to the shortage of good, qualified information security professionals.

NEW QUESTION 180

Senior management commitment and support for information security can BEST be enhanced through:

- A. a formal security policy sponsored by the chief executive officer (CEO).
- B. regular security awareness training for employee
- C. periodic review of alignment with business management goal
- D. senior management signoff on the information security strateg

Answer: C

Explanation:

Ensuring that security activities continue to be aligned and support business goals is critical to obtaining their support. Although having the chief executive officer (CEO) signoff on the security policy and senior management signoff on the security strategy makes for good visibility and demonstrates good tone at the top, it is a one-time discrete event that may be quickly forgotten by senior management. Security awareness training for employees will not have as much effect on senior management commitment.

NEW QUESTION 182

When developing incident response procedures involving servers hosting critical applications, which of the following should be the FIRST to be notified?

- A. Business management
- B. Operations manager
- C. Information security manager
- D. System users

Answer: C

Explanation:

The escalation process in critical situations should involve the information security manager as the first contact so that appropriate escalation steps are invoked as necessary. Choices A, B and D would be notified accordingly.

NEW QUESTION 187

Relationships among security technologies are BEST defined through which of the following?

- A. Security metrics
- B. Network topology
- C. Security architecture
- D. Process improvement models

Answer: C

Explanation:

Security architecture explains the use and relationships of security mechanisms. Security metrics measure improvement within the security practice but do not explain the use and relationships of security technologies. Process improvement models and network topology diagrams also do not describe the use and relationships of these technologies.

NEW QUESTION 192

The BEST way to justify the implementation of a single sign-on (SSO) product is to use:

- A. return on investment (ROI)
- B. a vulnerability assessment
- C. annual loss expectancy (ALE).
- D. a business case

Answer: D

Explanation:

A business case shows both direct and indirect benefits, along with the investment required and the expected returns, thus making it useful to present to senior management. Return on investment (ROI) would only provide the costs needed to preclude specific risks, and would not provide other indirect benefits such as process improvement and learning. A vulnerability assessment is more technical in nature and would only identify and assess the vulnerabilities. This would also not provide insights on indirect benefits. Annual loss expectancy (ALE) would not weigh the advantages of implementing single sign-on (SSO) in comparison to the cost of implementation.

NEW QUESTION 196

Which of the following individuals would be in the BEST position to sponsor the creation of an information security steering group?

- A. Information security manager
- B. Chief operating officer (COO)
- C. Internal auditor
- D. Legal counsel

Answer: B

Explanation:

The chief operating officer (COO) is highly-placed within an organization and has the most knowledge of business operations and objectives. The chief internal auditor and chief legal counsel are appropriate members of such a steering group. However, sponsoring the creation of the steering committee should be initiated by someone versed in the strategy and direction of the business. Since a security manager is looking to this group for direction, they are not in the best position to oversee formation of this group.

NEW QUESTION 200

Who should drive the risk analysis for an organization?

- A. Senior management
- B. Security manager
- C. Quality manager
- D. Legal department

Answer: B

Explanation:

Although senior management should support and sponsor a risk analysis, the know-how and the management of the project will be with the security department. Quality management and the legal department will contribute to the project.

NEW QUESTION 204

An information security manager must understand the relationship between information security and business operations in order to:

- A. support organizational objective
- B. determine likely areas of noncompliance
- C. assess the possible impacts of compromise
- D. understand the threats to the business

Answer: A

Explanation:

Security exists to provide a level of predictability for operations, support for the activities of the organization and to ensure preservation of the organization. Business operations must be the driver for security activities in order to set meaningful objectives, determine and manage the risks to those activities, and provide a basis to measure the effectiveness of and provide guidance to the security program. Regulatory compliance may or may not be an organizational requirement. If compliance is a requirement, some level of compliance must be supported but compliance is only one aspect. It is necessary to understand the business goals in order to assess potential impacts and evaluate threats. These are some of the ways in which security supports organizational objectives, but they are not the only ways.

NEW QUESTION 208

The MOST important factor in ensuring the success of an information security program is effective:

- A. communication of information security requirements to all users in the organization
- B. formulation of policies and procedures for information security
- C. alignment with organizational goals and objectives
- D. monitoring compliance with information security policies and procedure

Answer: C

Explanation:

The success of security programs is dependent upon alignment with organizational goals and objectives. Communication is a secondary step. Effective communication and education of users is a critical determinant of success but alignment with organizational goals and objectives is the most important factor for success. Mere formulation of policies without effective communication to users will not ensure success. Monitoring compliance with information security policies and procedures can be, at best, a detective mechanism that will not lead to success in the midst of uninformed users.

NEW QUESTION 209

The cost of implementing a security control should not exceed the:

- A. annualized loss expectanc
- B. cost of an inciden
- C. asset valu
- D. implementation opportunity cost

Answer: C

Explanation:

The cost of implementing security controls should not exceed the worth of the asset. Annualized loss expectancy represents the losses drat are expected to happen during a single calendar year. A security mechanism may cost more than this amount (or the cost of a single incident) and still be considered cost effective. Opportunity costs relate to revenue lost by forgoing the acquisition of an item or the making of a business decision.

NEW QUESTION 211

In order to highlight to management the importance of integrating information security in the business processes, a newly hired information security officer should FIRST:

- A. prepare a security budge
- B. conduct a risk assessmen
- C. develop an information security polic
- D. obtain benchmarking informatio

Answer: B

Explanation:

Risk assessment, evaluation and impact analysis will be the starting point for driving management's attention to information security. All other choices will follow the risk assessment.

NEW QUESTION 215

An organization's information security processes are currently defined as ad hoc. In seeking to improve their performance level, the next step for the organization should be to:

- A. ensure that security processes are consistent across the organizatio
- B. enforce baseline security levels across the organizatio
- C. ensure that security processes are fully documente
- D. implement monitoring of key performance indicators for security processe

Answer: A

Explanation:

The organization first needs to move from ad hoc to repeatable processes. The organization then needs to document the processes and implement process monitoring and measurement. Baselining security levels will not necessarily assist in process improvement since baselining focuses primarily on control improvement. The organization needs to standardize processes both before documentation, and before monitoring and measurement.

NEW QUESTION 219

An information security manager at a global organization that is subject to regulation by multiple governmental jurisdictions with differing requirements should:

- A. bring all locations into conformity with the aggregate requirements of all governmental jurisdiction
- B. establish baseline standards for all locations and add supplemental standards as require
- C. bring all locations into conformity with a generally accepted set of industry best practice
- D. establish a baseline standard incorporating those requirements that all jurisdictions have in commo

Answer: B

Explanation:

It is more efficient to establish a baseline standard and then develop additional standards for locations that must meet specific requirements. Seeking a lowest common denominator or just using industry best practices may cause certain locations to fail regulatory compliance. The opposite approach—forcing all locations to be in compliance with the regulations places an undue burden on those locations.

NEW QUESTION 221

The FIRST step to create an internal culture that focuses on information security is to:

- A. implement stronger control
- B. conduct periodic awareness trainin

- C. actively monitor operation
- D. gain the endorsement of executive management

Answer: D

Explanation:

Endorsement of executive management in the form of policies provides direction and awareness. The implementation of stronger controls may lead to circumvention. Awareness training is important, but must be based on policies. Actively monitoring operations will not affect culture at all levels.

NEW QUESTION 222

Acceptable risk is achieved when:

- A. residual risk is minimize
- B. transferred risk is minimize
- C. control risk is minimize
- D. inherent risk is minimize

Answer: A

Explanation:

Residual risk is the risk that remains after putting into place an effective risk management program; therefore, acceptable risk is achieved when this amount is minimized. Transferred risk is risk that has been assumed by a third party and may not necessarily be equal to the minimal form of residual risk. Control risk is the risk that controls may not prevent/detect an incident with a measure of control effectiveness. Inherent risk cannot be minimized.

NEW QUESTION 226

When performing a quantitative risk analysis, which of the following is MOST important to estimate the potential loss?

- A. Evaluate productivity losses
- B. Assess the impact of confidential data disclosure
- C. Calculate the value of the information or asset
- D. Measure the probability of occurrence of each threat

Answer: C

Explanation:

Calculating the value of the information or asset is the first step in a risk analysis process to determine the impact to the organization, which is the ultimate goal. Determining how much productivity could be lost and how much it would cost is a step in the estimation of potential risk process. Knowing the impact if confidential information is disclosed is also a step in the estimation of potential risk. Measuring the probability of occurrence for each threat identified is a step in performing a threat analysis and therefore a partial answer.

NEW QUESTION 229

Which of the following would be MOST useful in developing a series of recovery time objectives (RTOs)?

- A. Gap analysis
- B. Regression analysis
- C. Risk analysis
- D. Business impact analysis

Answer: D

Explanation:

Recovery time objectives (RTOs) are a primary deliverable of a business impact analysis. RTOs relate to the financial impact of a system not being available. A gap analysis is useful in addressing the differences between the current state and an ideal future state. Regression analysis is used to test changes to program modules. Risk analysis is a component of the business impact analysis.

NEW QUESTION 230

To determine the selection of controls required to meet business objectives, an information security manager should:

- A. prioritize the use of role-based access control
- B. focus on key control
- C. restrict controls to only critical application
- D. focus on automated control

Answer: B

Explanation:

Key controls primarily reduce risk and are most effective for the protection of information assets. The other choices could be examples of possible key controls.

NEW QUESTION 232

Risk management programs are designed to reduce risk to:

- A. a level that is too small to be measurable
- B. the point at which the benefit exceeds the expense
- C. a level that the organization is willing to accept
- D. a rate of return that equals the current cost of capital

Answer: C

Explanation:

Risk should be reduced to a level that an organization is willing to accept. Reducing risk to a level too small to measure is impractical and is often cost-prohibitive. To tie risk to a specific rate of return ignores the qualitative aspects of risk that must also be considered. Depending on the risk preference of an organization, it may or may not choose to pursue risk mitigation to the point at which the benefit equals or exceeds the expense. Therefore, choice C is a more precise answer.

NEW QUESTION 233

Which of the following would a security manager establish to determine the target for restoration of normal processing?

- A. Recoverable time objective (RTO)
- B. Maximum tolerable outage (MTO)
- C. Recovery point objectives (RPOs)
- D. Services delivery objectives (SDOs)

Answer: A

Explanation:

Recovery time objective (RTO) is the length of time from the moment of an interruption until the time the process must be functioning at a service level sufficient to limit financial and operational impacts to an acceptable level. Maximum tolerable outage (MTO) is the maximum time for which an organization can operate in a reduced mode. Recovery point objectives (RPOs) relate to the age of the data required for recovery. Services delivery objectives (SDOs) are the levels of service required in reduced mode.

NEW QUESTION 234

All risk management activities are PRIMARILY designed to reduce impacts to:

- A. a level defined by the security manager
- B. an acceptable level based on organizational risk tolerance
- C. a minimum level consistent with regulatory requirement
- D. the minimum level possible

Answer: B

Explanation:

The aim of risk management is to reduce impacts to an acceptable level. "Acceptable" or "reasonable" are relative terms that can vary based on environment and circumstances. A minimum level that is consistent with regulatory requirements may not be consistent with business objectives, and regulators typically do not assign risk levels. The minimum level possible may not be aligned with business requirements.

NEW QUESTION 238

The BEST strategy for risk management is to:

- A. achieve a balance between risk and organizational goal
- B. reduce risk to an acceptable level
- C. ensure that policy development properly considers organizational risk
- D. ensure that all unmitigated risks are accepted by management

Answer: B

Explanation:

The best strategy for risk management is to reduce risk to an acceptable level, as this will take into account the organization's appetite for risk and the fact that it would not be practical to eliminate all risk. Achieving balance between risk and organizational goals is not always practical. Policy development must consider organizational risks as well as business objectives. It may be prudent to ensure that management understands and accepts risks that it is not willing to mitigate, but that is a practice and is not sufficient to be considered a strategy.

NEW QUESTION 242

The impact of losing frame relay network connectivity for 18-24 hours should be calculated using the:

- A. hourly billing rate charged by the carrier
- B. value of the data transmitted over the network
- C. aggregate compensation of all affected business users
- D. financial losses incurred by affected business unit

Answer: D

Explanation:

The bottom line on calculating the impact of a loss is what its cost will be to the organization. The other choices are all factors that contribute to the overall monetary impact.

NEW QUESTION 246

An information security manager has been assigned to implement more restrictive preventive controls. By doing so, the net effect will be to PRIMARILY reduce the:

- A. threa
- B. los
- C. vulnerabilit
- D. probabilit

Answer: C

Explanation:

Implementing more restrictive preventive controls mitigates vulnerabilities but not the threats. Losses and probability of occurrence may not be primarily or directly affected.

NEW QUESTION 250

Attackers who exploit cross-site scripting vulnerabilities take advantage of:

- A. a lack of proper input validation control
- B. weak authentication controls in the web application laye
- C. flawed cryptographic secure sockets layer (SSL) implementations and short key length
- D. implicit web application trust relationship

Answer: A

Explanation:

Cross-site scripting attacks inject malformed input. Attackers who exploit weak application authentication controls can gain unauthorized access to applications and this has little to do with cross-site scripting vulnerabilities. Attackers who exploit flawed cryptographic secure sockets layer (SSL) implementations and short key lengths can sniff network traffic and crack keys to gain unauthorized access to information. This has little to do with cross-site scripting vulnerabilities. Web application trust relationships do not relate directly to the attack.

NEW QUESTION 251

Phishing is BEST mitigated by which of the following?

- A. Security monitoring software
- B. Encryption
- C. Two-factor authentication
- D. User awareness

Answer: D

Explanation:

Phishing can best be detected by the user. It can be mitigated by appropriate user awareness. Security monitoring software would provide some protection, but would not be as effective as user awareness. Encryption and two-factor authentication would not mitigate this threat.

NEW QUESTION 252

Which of the following steps in conducting a risk assessment should be performed FIRST?

- A. Identity business assets
- B. Identify business risks
- C. Assess vulnerabilities
- D. Evaluate key controls

Answer: A

Explanation:

Risk assessment first requires one to identify the business assets that need to be protected before identifying the threats. The next step is to establish whether those threats represent business risk by identifying the likelihood and effect of occurrence, followed by assessing the vulnerabilities that may affect the security of the asset. This process establishes the control objectives against which key controls can be evaluated.

NEW QUESTION 254

What does a network vulnerability assessment intend to identify?

- A. 0-day vulnerabilities
- B. Malicious software and spyware
- C. Security design flaws
- D. Misconfiguration and missing updates

Answer: D

Explanation:

A network vulnerability assessment intends to identify known vulnerabilities based on common misconfigurations and missing updates. 0-day vulnerabilities by definition are not previously known and therefore are undetectable. Malicious software and spyware are normally addressed through antivirus and antispyware

policies. Security design flaws require a deeper level of analysis.

NEW QUESTION 259

During which phase of development is it MOST appropriate to begin assessing the risk of a new application system?

- A. Feasibility
- B. Design
- C. Development
- D. Testing

Answer: A

Explanation:

Risk should be addressed as early in the development of a new application system as possible. In some cases, identified risks could be mitigated through design changes. If needed changes are not identified until design has already commenced, such changes become more expensive. For this reason, beginning risk assessment during the design, development or testing phases is not the best solution.

NEW QUESTION 263

A successful risk management program should lead to:

- A. optimization of risk reduction efforts against cos
- B. containment of losses to an annual budgeted amoun
- C. identification and removal of all man-made threat
- D. elimination or transference of all organizational risk

Answer: A

Explanation:

Successful risk management should lead to a breakeven point of risk reduction and cost. The other options listed are not achievable. Threats cannot be totally removed or transferred, while losses cannot be budgeted in advance with absolute certainty.

NEW QUESTION 266

A project manager is developing a developer portal and requests that the security manager assign a public IP address so that it can be accessed by in-house staff and by external consultants outside the organization's local area network (LAN). What should the security manager do FIRST?

- A. Understand the business requirements of the developer portal
- B. Perform a vulnerability assessment of the developer portal
- C. Install an intrusion detection system (IDS)
- D. Obtain a signed nondisclosure agreement (NDA) from the external consultants before allowing external access to the server

Answer: A

Explanation:

The information security manager cannot make an informed decision about the request without first understanding the business requirements of the developer portal. Performing a vulnerability assessment of developer portal and installing an intrusion detection system (IDS) are best practices but are subsequent to understanding the requirements. Obtaining a signed nondisclosure agreement will not take care of the risks inherent in the organization's application.

NEW QUESTION 267

After obtaining commitment from senior management, which of the following should be completed NEXT when establishing an information security program?

- A. Define security metrics
- B. Conduct a risk assessment
- C. Perform a gap analysis
- D. Procure security tools

Answer: B

Explanation:

When establishing an information security program, conducting a risk assessment is key to identifying the needs of the organization and developing a security strategy. Defining security metrics, performing a gap analysis and procuring security tools are all subsequent considerations.

NEW QUESTION 270

Which program element should be implemented FIRST in asset classification and control?

- A. Risk assessment
- B. Classification
- C. Valuation
- D. Risk mitigation

Answer: C

Explanation:

Valuation is performed first to identify and understand the assets needing protection. Risk assessment is performed to identify and quantify threats to information assets that are selected by the first step, valuation. Classification and risk mitigation are steps following valuation.

NEW QUESTION 272

Which of the following is the PRIMARY reason for implementing a risk management program?

- A. Allows the organization to eliminate risk
- B. Is a necessary part of management's due diligence
- C. Satisfies audit and regulatory requirements
- D. Assists in incrementing the return on investment (ROD)

Answer: B

Explanation:

The key reason for performing risk management is that it is part of management's due diligence. The elimination of all risk is not possible. Satisfying audit and regulatory requirements is of secondary importance. A risk management program may or may not increase the return on investment (ROD).

NEW QUESTION 273

An organization has a process in place that involves the use of a vendor. A risk assessment was completed during the development of the process. A year after the implementation a monetary decision has been made to use a different vendor. What, if anything, should occur?

- A. Nothing, since a risk assessment was completed during developmen
- B. A vulnerability assessment should be conducte
- C. A new risk assessment should be performe
- D. The new vendor's SAS 70 type II report should be reviewe

Answer: C

Explanation:

The risk assessment process is continual and any changes to an established process should include a new- risk assessment. While a review of the SAS 70 report and a vulnerability assessment may be components of a risk assessment, neither would constitute sufficient due diligence on its own.

NEW QUESTION 277

Which of the following roles is PRIMARILY responsible for determining the information classification levels for a given information asset?

- A. Manager
- B. Custodian
- C. User
- D. Owner

Answer: D

Explanation:

Although the information owner may be in a management position and is also considered a user, the information owner role has the responsibility for determining information classification levels. Management is responsible for higher-level issues such as providing and approving budget, supporting activities, etc. The information custodian is responsible for day-to-day security tasks such as protecting information, backing up information, etc. Users are the lowest level. They use the data, but do not classify the data. The owner classifies the data.

NEW QUESTION 279

The MOST important function of a risk management program is to:

- A. quantify overall ris
- B. minimize residual ris
- C. eliminate inherent ris
- D. maximize the sum of all annualized loss expectancies (ALEs).

Answer: B

Explanation:

A risk management program should minimize the amount of risk that cannot be otherwise eliminated or transferred; this is the residual risk to the organization. Quantifying overall risk is important but not as critical as the end result. Eliminating inherent risk is virtually impossible. Maximizing the sum of all ALEs is actually the opposite of what is desirable.

NEW QUESTION 284

A risk mitigation report would include recommendations for:

- A. assessmen
- B. acceptance
- C. evaluatio
- D. quantificatio

Answer:

B

Explanation:

Acceptance of a risk is an alternative to be considered in the risk mitigation process. Assessment, evaluation and risk quantification are components of the risk analysis process that are completed prior to determining risk mitigation solutions.

NEW QUESTION 288

Risk assessment is MOST effective when performed:

- A. at the beginning of security program developmen
- B. on a continuous basi
- C. while developing the business case for the security progra
- D. during the business change proces

Answer: B

Explanation:

Risk assessment needs to be performed on a continuous basis because of organizational and technical changes. Risk assessment must take into account all significant changes in order to be effective.

NEW QUESTION 290

Who would be in the BEST position to determine the recovery point objective (RPO) for business applications?

- A. Business continuity coordinator
- B. Chief operations officer (COO)
- C. Information security manager
- D. Internal audit

Answer: B

Explanation:

The recovery point objective (RPO) is the processing checkpoint to which systems are recovered. In addition to data owners, the chief operations officer (COO) is the most knowledgeable person to make this decision. It would be inappropriate for the information security manager or an internal audit to determine the RPO because they are not directly responsible for the data or the operation.

NEW QUESTION 295

Which of the following measures would be MOST effective against insider threats to confidential information?

- A. Role-based access control
- B. Audit trail monitoring
- C. Privacy policy
- D. Defense-in-depth

Answer: A

Explanation:

Role-based access control provides access according to business needs; therefore, it reduces unnecessary- access rights and enforces accountability. Audit trail monitoring is a detective control, which is 'after the fact.' Privacy policy is not relevant to this risk. Defense-in-depth primarily focuses on external threats

NEW QUESTION 300

Data owners are PRIMARILY responsible for establishing risk mitigation methods to address which of the following areas?

- A. Platform security
- B. Entitlement changes
- C. Intrusion detection
- D. Antivirus controls

Answer: B

Explanation:

Data owners are responsible for assigning user entitlements and approving access to the systems for which they are responsible. Platform security, intrusion detection and antivirus controls are all within the responsibility of the information security manager.

NEW QUESTION 302

A common concern with poorly written web applications is that they can allow an attacker to:

- A. gain control through a buffer overflo
- B. conduct a distributed denial of service (DoS) attac
- C. abuse a race conditio
- D. inject structured query language (SQL) statement

Answer: D

Explanation:

Structured query language (SQL) injection is one of the most common and dangerous web application vulnerabilities. Buffer overflows and race conditions are very difficult to find and exploit on web applications. Distributed denial of service (DoS) attacks have nothing to do with the quality of a web application.

NEW QUESTION 303

Which of the following results from the risk assessment process would BEST assist risk management decision making?

- A. Control risk
- B. Inherent risk
- C. Risk exposure
- D. Residual risk

Answer: D

Explanation:

Residual risk provides management with sufficient information to decide to the level of risk that an organization is willing to accept. Control risk is the risk that a control may not succeed in preventing an undesirable event. Risk exposure is the likelihood of an undesirable event occurring. Inherent risk is an important factor to be considered during the risk assessment.

NEW QUESTION 307

The MOST important reason for conducting periodic risk assessments is because:

- A. risk assessments are not always precis
- B. security risks are subject to frequent chang
- C. reviewers can optimize and reduce the cost of control
- D. it demonstrates to senior management that the security function can add valu

Answer: B

Explanation:

Risks are constantly changing. A previously conducted risk assessment may not include measured risks that have been introduced since the last assessment. Although an assessment can never be perfect and invariably contains some errors, this is not the most important reason for periodic reassessment. The fact that controls can be made more efficient to reduce costs is not sufficient. Finally, risk assessments should not be performed merely to justify the existence of the security function.

NEW QUESTION 312

What mechanisms are used to identify deficiencies that would provide attackers with an opportunity to compromise a computer system?

- A. Business impact analyses
- B. Security gap analyses
- C. System performance metrics
- D. Incident response processes

Answer: B

Explanation:

A security gap analysis is a process which measures all security controls in place against typically good business practice, and identifies related weaknesses. A business impact analysis is less suited to identify security deficiencies. System performance metrics may indicate security weaknesses, but that is not their primary purpose. Incident response processes exist for cases where security weaknesses are exploited.

NEW QUESTION 313

It is important to classify and determine relative sensitivity of assets to ensure that:

- A. cost of protection is in proportion to sensitivit
- B. highly sensitive assets are protecte
- C. cost of controls is minimize
- D. countermeasures are proportional to ris

Answer: D

Explanation:

Classification of assets needs to be undertaken to determine sensitivity of assets in terms of risk to the business operation so that proportional countermeasures can be effectively implemented. While higher costs are allowable to protect sensitive assets, and it is always reasonable to minimize the costs of controls, it is most important that the controls and countermeasures are commensurate to the risk since this will justify the costs. Choice B is important but it is an incomplete answer because it does not factor in risk. Therefore, choice D is the most important.

NEW QUESTION 316

The service level agreement (SLA) for an outsourced IT function does not reflect an adequate level of protection. In this situation an information security manager should:

- A. ensure the provider is made liable for losse
- B. recommend not renewing the contract upon expiratio

- C. recommend the immediate termination of the contrac
- D. determine the current level of securit

Answer: D

Explanation:

It is important to ensure that adequate levels of protection are written into service level agreements (SLAs) and other outsourcing contracts. Information must be obtained from providers to determine how that outsource provider is securing information assets prior to making any recommendation or taking any action in order to support management decision making. Choice A is not acceptable in most situations and therefore not a good answer.

NEW QUESTION 321

Which of the following techniques MOST clearly indicates whether specific risk-reduction controls should be implemented?

- A. Countermeasure cost-benefit analysis
- B. Penetration testing
- C. Frequent risk assessment programs
- D. Annual loss expectancy (ALE) calculation

Answer: A

Explanation:

In a countermeasure cost-benefit analysis, the annual cost of safeguards is compared with the expected cost of loss. This can then be used to justify a specific control measure. Penetration testing may indicate the extent of a weakness but, by itself, will not establish the cost/benefit of a control. Frequent risk assessment programs will certainly establish what risk exists but will not determine the maximum cost of controls. Annual loss expectancy (ALE) is a measure which will contribute to the value of the risk but, alone, will not justify a control.

NEW QUESTION 325

Which of the following is the MOST effective way to treat a risk such as a natural disaster that has a low probability and a high impact level?

- A. Implement countermeasure
- B. Eliminate the ris
- C. Transfer the ris
- D. Accept the ris

Answer: C

Explanation:

Risks are typically transferred to insurance companies when the probability of an incident is low but the impact is high. Examples include: hurricanes, tornados and earthquakes. Implementing countermeasures may not be the most cost-effective approach to security management. Eliminating the risk may not be possible. Accepting the risk would leave the organization vulnerable to a catastrophic disaster which may cripple or ruin the organization. It would be more cost effective to pay recurring insurance costs than to be affected by a disaster from which the organization cannot financially recover.

NEW QUESTION 329

Which of the following attacks is BEST mitigated by utilizing strong passwords?

- A. Man-in-the-middle attack
- B. Brute force attack
- C. Remote buffer overflow
- D. Root kit

Answer: B

Explanation:

A brute force attack is normally successful against weak passwords, whereas strong passwords would not prevent any of the other attacks. Man-in-the-middle attacks intercept network traffic, which could contain passwords, but is not naturally password-protected. Remote buffer overflows rarely require a password to exploit a remote host. Root kits hook into the operating system's kernel and, therefore, operate underneath any authentication mechanism.

NEW QUESTION 331

The valuation of IT assets should be performed by:

- A. an IT security manage
- B. an independent security consultan
- C. the chief financial officer (CFO).
- D. the information owne

Answer: D

Explanation:

Information asset owners are in the best position to evaluate the value added by the IT asset under review within a business process, thanks to their deep knowledge of the business processes and of the functional IT requirements. An IT security manager is an expert of the IT risk assessment methodology and IT asset valuation mechanisms. However, the manager could not have a deep understanding of all the business processes of the firm. An IT security subject matter expert will take part of the process to identify threats and vulnerabilities and will collaborate with the business information asset owner to define the risk profile of the asset. A chief financial officer (CFO) will have an overall costs picture but not detailed enough to evaluate the value of each IT asset.

NEW QUESTION 336

Which of the following would be of GREATEST importance to the security manager in determining whether to accept residual risk?

- A. Historical cost of the asset
- B. Acceptable level of potential business impacts
- C. Cost versus benefit of additional mitigating controls
- D. Annualized loss expectancy (ALE)

Answer: C

Explanation:

The security manager would be most concerned with whether residual risk would be reduced by a greater amount than the cost of adding additional controls. The other choices, although relevant, would not be as important.

NEW QUESTION 341

For risk management purposes, the value of an asset should be based on:

- A. original cos
- B. net cash flo
- C. net present valu
- D. replacement cos

Answer: D

Explanation:

The value of a physical asset should be based on its replacement cost since this is the amount that would be needed to replace the asset if it were to become damaged or destroyed. Original cost may be significantly different than the current cost of replacing the asset. Net cash flow and net present value do not accurately reflect the true value of the asset.

NEW QUESTION 345

The decision as to whether a risk has been reduced to an acceptable level should be determined by:

- A. organizational requirement
- B. information systems requirement
- C. information security requirement
- D. international standard

Answer: A

Explanation:

Organizational requirements should determine when a risk has been reduced to an acceptable level. Information systems and information security should not make the ultimate determination. Since each organization is unique, international standards of best practice do not represent the best solution.

NEW QUESTION 349

The PRIMARY reason for initiating a policy exception process is when:

- A. operations are too busy to compl
- B. the risk is justified by the benefi
- C. policy compliance would be difficult to enforc
- D. users may initially be inconvenience

Answer: B

Explanation:

Exceptions to policy are warranted in circumstances where compliance may be difficult or impossible and the risk of noncompliance is outweighed by the benefits. Being busy is not a justification for policy exceptions, nor is the fact that compliance cannot be enforced. User inconvenience is not a reason to automatically grant exception to a policy.

NEW QUESTION 352

A global financial institution has decided not to take any further action on a denial of service (DoS) risk found by the risk assessment team. The MOST likely reason they made this decision is that:

- A. there are sufficient safeguards in place to prevent this risk from happenin
- B. the needed countermeasure is too complicated to deplo
- C. the cost of countermeasure outweighs the value of the asset and potential los
- D. The likelihood of the risk occurring is unknow

Answer: C

Explanation:

An organization may decide to live with specific risks because it would cost more to protect themselves than the value of the potential loss. The safeguards need to

match the risk level. While countermeasures could be too complicated to deploy, this is not the most compelling reason. It is unlikely that a global financial institution would not be exposed to such attacks and the frequency could not be predicted.

NEW QUESTION 357

Which of the following is the MAIN reason for performing risk assessment on a continuous basis'?

- A. Justification of the security budget must be continually mad
- B. New vulnerabilities are discovered every da
- C. The risk environment is constantly changin
- D. Management needs to be continually informed about emerging risk

Answer: C

Explanation:

The risk environment is impacted by factors such as changes in technology, and business strategy. These changes introduce new threats and vulnerabilities to the organization. As a result, risk assessment should be performed continuously. Justification of a budget should never be the main reason for performing a risk assessment. New vulnerabilities should be managed through a patch management process. Informing management about emerging risks is important, but is not the main driver for determining when a risk assessment should be performed.

NEW QUESTION 362

A risk management program should reduce risk to:

- A. zer
- B. an acceptable leve
- C. an acceptable percent of revenu
- D. an acceptable probability of occurrenc

Answer: B

Explanation:

Risk should be reduced to an acceptable level based on the risk preference of the organization. Reducing risk to zero is impractical and could be cost-prohibitive. Tying risk to a percentage of revenue is inadvisable since there is no direct correlation between the two. Reducing the probability of risk occurrence may not always be possible, as in the ease of natural disasters. The focus should be on reducing the impact to an acceptable level to the organization, not reducing the probability of the risk.

NEW QUESTION 365

The MOST effective way to incorporate risk management practices into existing production systems is through:

- A. policy developmen
- B. change managemen
- C. awareness trainin
- D. regular monitorin

Answer: B

Explanation:

Change is a process in which new risks can be introduced into business processes and systems. For this reason, risk management should be an integral component of the change management process. Policy development, awareness training and regular monitoring, although all worthwhile activities, are not as effective as change management.

NEW QUESTION 368

Which of the following will BEST protect an organization from internal security attacks?

- A. Static IP addressing
- B. Internal address translation
- C. Prospective employee background checks
- D. Employee awareness certification program

Answer: C

Explanation:

Because past performance is a strong predictor of future performance, background checks of prospective employees best prevents attacks from originating within an organization. Static IP addressing does little to prevent an internal attack. Internal address translation using non-routable addresses is useful against external attacks but not against internal attacks. Employees who certify that they have read security policies are desirable, but this does not guarantee that the employees behave honestly.

NEW QUESTION 371

Which of the following is the BEST method to ensure the overall effectiveness of a risk management program?

- A. User assessments of changes
- B. Comparison of the program results with industry standards
- C. Assignment of risk within the organization
- D. Participation by all members of the organization

Answer: D

Explanation:

Effective risk management requires participation, support and acceptance by all applicable members of the organization, beginning with the executive levels. Personnel must understand their responsibilities and be trained on how to fulfill their roles.

NEW QUESTION 374

Which of the following steps should be performed FIRST in the risk assessment process?

- A. Staff interviews
- B. Threat identification
- C. Asset identification and valuation
- D. Determination of the likelihood of identified risks

Answer: C

Explanation:

The first step in the risk assessment methodology is a system characterization, or identification and valuation, of all of the enterprise's assets to define the boundaries of the assessment. Interviewing is a valuable tool to determine qualitative information about an organization's objectives and tolerance for risk. Interviews are used in subsequent steps. Identification of threats comes later in the process and should not be performed prior to an inventory since many possible threats will not be applicable if there is no asset at risk. Determination of likelihood comes later in the risk assessment process.

NEW QUESTION 379

There is a time lag between the time when a security vulnerability is first published, and the time when a patch is delivered. Which of the following should be carried out FIRST to mitigate the risk during this time period?

- A. Identify the vulnerable systems and apply compensating controls
- B. Minimize the use of vulnerable systems
- C. Communicate the vulnerability to system users
- D. Update the signatures database of the intrusion detection system (IDS)

Answer: A

Explanation:

The best protection is to identify the vulnerable systems and apply compensating controls until a patch is installed. Minimizing the use of vulnerable systems and communicating the vulnerability to system users could be compensating controls but would not be the first course of action. Choice D does not make clear the timing of when the intrusion detection system (IDS) signature list would be updated to accommodate the vulnerabilities that are not yet publicly known. Therefore, this approach should not always be considered as the first option.

NEW QUESTION 383

A business impact analysis (BIA) is the BEST tool for calculating:

- A. total cost of ownershi
- B. priority of restoratio
- C. annualized loss expectancy (ALE).
- D. residual ris

Answer: B

Explanation:

A business impact analysis (BIA) is the best tool for calculating the priority of restoration for applications. It is not used to determine total cost of ownership, annualized loss expectancy (ALE) or residual risk to the organization.

NEW QUESTION 384

The purpose of a corrective control is to:

- A. reduce adverse event
- B. indicate compromis
- C. mitigate impac
- D. ensure complianc

Answer: C

Explanation:

Corrective controls serve to reduce or mitigate impacts, such as providing recovery capabilities. Preventive controls reduce adverse events, such as firewalls. Compromise can be detected by detective controls, such as intrusion detection systems (IDSs). Compliance could be ensured by preventive controls, such as access controls.

NEW QUESTION 387

After a risk assessment study, a bank with global operations decided to continue doing business in certain regions of the world where identity theft is rampant. The information security manager should encourage the business to:

- A. increase its customer awareness efforts in those region
- B. implement monitoring techniques to detect and react to potential frau
- C. outsource credit card processing to a third part
- D. make the customer liable for losses if they fail to follow the bank's advic

Answer: B

Explanation:

While customer awareness will help mitigate the risks, this is insufficient on its own to control fraud risk. Implementing monitoring techniques which will detect and deal with potential fraud cases is the most effective way to deal with this risk. If the bank outsources its processing, the bank still retains liability. While making the customer liable for losses is a possible approach, nevertheless, the bank needs to be seen to be proactive in managing its risks.

NEW QUESTION 392

Which of the following would help management determine the resources needed to mitigate a risk to the organization?

- A. Risk analysis process
- B. Business impact analysis (BIA)
- C. Risk management balanced scorecard
- D. Risk-based audit program

Answer: B

Explanation:

The business impact analysis (BIA) determines the possible outcome of a risk and is essential to determine the appropriate cost of control. The risk analysis process provides comprehensive data, but does not determine definite resources to mitigate the risk as does the BIA. The risk management balanced scorecard is a measuring tool for goal attainment. A risk-based audit program is used to focus the audit process on the areas of greatest importance to the organization.

NEW QUESTION 396

The PRIMARY benefit of performing an information asset classification is to:

- A. link security requirements to business objective
- B. identify controls commensurate to ris
- C. define access right
- D. establish ownershi

Answer: B

Explanation:

All choices are benefits of information classification. However, identifying controls that are proportional to the risk in all cases is the primary benefit of the process.

NEW QUESTION 398

An information security organization should PRIMARILY:

- A. support the business objectives of the company by providing security-related support service
- B. be responsible for setting up and documenting the information security responsibilities of the information security team member
- C. ensure that the information security policies of the company are in line with global best practices and standard
- D. ensure that the information security expectations are conveyed to employee

Answer: A

Explanation:

The information security organization is responsible for options B and D within an organization, but they are not its primary mission. Reviewing and adopting appropriate standards (option C) is a requirement. The primary objective of an information security organization is to ensure that security supports the overall business objectives of the company.

NEW QUESTION 400

Which of the following BEST indicates a successful risk management practice?

- A. Overall risk is quantified
- B. Inherent risk is eliminated
- C. Residual risk is minimized
- D. Control risk is tied to business units

Answer: C

Explanation:

A successful risk management practice minimizes the residual risk to the organization. Choice A is incorrect because the fact that overall risk has been quantified does not necessarily indicate the existence of a successful risk management practice. Choice B is incorrect since it is virtually impossible to eliminate inherent risk. Choice D is incorrect because, although the tying of control risks to business may improve accountability, this is not as desirable as minimizing residual risk.

NEW QUESTION 403

What is the BEST technique to determine which security controls to implement with a limited budget?

- A. Risk analysis
- B. Annualized loss expectancy (ALE) calculations
- C. Cost-benefit analysis
- D. Impact analysis

Answer: C

Explanation:

Cost-benefit analysis is performed to ensure that the cost of a safeguard does not outweigh its benefit and that the best safeguard is provided for the cost of implementation. Risk analysis identifies the risks and suggests appropriate mitigation. The annualized loss expectancy (ALE) is a subset of a cost-benefit analysis. Impact analysis would indicate how much could be lost if a specific threat occurred.

NEW QUESTION 405

In a business impact analysis, the value of an information system should be based on the overall cost:

- A. of recover
- B. to recreat
- C. if unavailabl
- D. of emergency operation

Answer: C

Explanation:

The value of an information system should be based on the cost incurred if the system were to become unavailable. The cost to design or recreate the system is not as relevant since a business impact analysis measures the impact that would occur if an information system were to become unavailable. Similarly, the cost of emergency operations is not as relevant.

NEW QUESTION 406

The PRIMARY goal of a corporate risk management program is to ensure that an organization's:

- A. IT assets in key business functions are protecte
- B. business risks are addressed by preventive control
- C. stated objectives are achievabl
- D. IT facilities and systems are always availabl

Answer: C

Explanation:

Risk management's primary goal is to ensure an organization maintains the ability to achieve its objectives. Protecting IT assets is one possible goal as well as ensuring infrastructure and systems availability. However, these should be put in the perspective of achieving an organization's objectives. Preventive controls are not always possible or necessary; risk management will address issues with an appropriate mix of preventive and corrective controls.

NEW QUESTION 408

Which of the following is MOST essential for a risk management program to be effective?

- A. Flexible security budget
- B. Sound risk baseline
- C. New risks detection
- D. Accurate risk reporting

Answer: C

Explanation:

All of these procedures are essential for implementing risk management. However, without identifying new risks, other procedures will only be useful for a limited period.

NEW QUESTION 410

When performing a qualitative risk analysis, which of the following will BEST produce reliable results?

- A. Estimated productivity losses
- B. Possible scenarios with threats and impacts
- C. Value of information assets
- D. Vulnerability assessment

Answer: B

Explanation:

Listing all possible scenarios that could occur, along with threats and impacts, will better frame the range of risks and facilitate a more informed discussion and decision. Estimated productivity losses, value of information assets and vulnerability assessments would not be sufficient on their own.

NEW QUESTION 413

When performing a risk assessment, the MOST important consideration is that:

- A. management supports risk mitigation effort
- B. annual loss expectations (ALEs) have been calculated for critical asset
- C. assets have been identified and appropriately value
- D. attack motives, means and opportunities be understoo

Answer: C

Explanation:

Identification and valuation of assets provides the basis for risk management efforts as it relates to the criticality and sensitivity of assets. Management support is always important, but is not relevant when determining the proportionality of risk management efforts. ALE calculations are only valid if assets have first been identified and appropriately valued. Motives, means and opportunities should already be factored in as a part of a risk assessment.

NEW QUESTION 416

Which of the following will BEST prevent external security attacks?

- A. Static IP addressing
- B. Network address translation
- C. Background checks for temporary employees
- D. Securing and analyzing system access logs

Answer: B

Explanation:

Network address translation is helpful by having internal addresses that are nonroutable. Background checks of temporary employees are more likely to prevent an attack launched from within the enterprise. Static IP addressing does little to prevent an attack. Writing all computer logs to removable media does not help in preventing an attack.

NEW QUESTION 420

Quantitative risk analysis is MOST appropriate when assessment data:

- A. include customer perception
- B. contain percentage estimate
- C. do not contain specific detail
- D. contain subjective informatio

Answer: B

Explanation:

Percentage estimates are characteristic of quantitative risk analysis. Customer perceptions, lack of specific details or subjective information lend themselves more to qualitative risk analysis.

NEW QUESTION 421

Which of the following risks is represented in the risk appetite of an organization?

- A. Control
- B. Inherent
- C. Residual
- D. Audit

Answer: C

Explanation:

Residual risk is unmanaged, i.e., inherent risk which remains uncontrolled. This is key to the organization's risk appetite and is the amount of residual risk that a business is living with that affects its viability. Hence, inherent risk is incorrect. Control risk, the potential for controls to fail, and audit risk, which relates only to audit's approach to their work, are not relevant in this context.

NEW QUESTION 426

A successful information security management program should use which of the following to determine the amount of resources devoted to mitigating exposures?

- A. Risk analysis results
- B. Audit report findings
- C. Penetration test results
- D. Amount of IT budget available

Answer: A

Explanation:

Risk analysis results are the most useful and complete source of information for determining the amount of resources to devote to mitigating exposures. Audit report findings may not address all risks and do not address annual loss frequency. Penetration test results provide only a limited view of exposures, while the IT budget is not tied to the exposures faced by the organization.

NEW QUESTION 427

Which two components PRIMARILY must be assessed in an effective risk analysis?

- A. Visibility and duration
- B. Likelihood and impact
- C. Probability and frequency
- D. Financial impact and duration

Answer: B

Explanation:

The probability or likelihood of the event and the financial impact or magnitude of the event must be assessed first. Duration refers to the length of the event; it is important in order to assess impact but is secondary. Once the likelihood is determined, the frequency is also important to determine overall impact.

NEW QUESTION 428

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